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PTO/SB/05 (11-00)

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**  
(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Attorney Docket No. **690022.527C2**First Inventor **Scott S. Graves**Title **HUMANIZED ANTIBODIES THAT BIND TO  
THE ANTIGEN BOUND BY ANTIBODY  
NR-LU-13 AND THEIR USE IN  
PRETARGETING METHODS**Express Mail Label No. **EV064839733US**10/05/02 PTO  
10/24/02

1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. <input checked="" type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
3. <input checked="" type="checkbox"/> Specification [Total Pages <u>74</u> ] (preferred arrangement set forth below) - Descriptive title of the Invention	a. <input checked="" type="checkbox"/> Computer Readable Form (CRF)
- Cross Reference to Related Applications	b. <input type="checkbox"/> Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
- Statement Regarding Fed sponsored R & D	ii. <input checked="" type="checkbox"/> paper
- Reference to sequence listing, a table, or a computer program listing appendix	c. <input checked="" type="checkbox"/> Statements verifying identity of above copies
- Background of the Invention	9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
- Brief Summary of the Invention	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of (when there is an assignee) Attorney
- Brief Description of the Drawings (if filed)	11. <input type="checkbox"/> English Translation Document (if applicable)
- Detailed Description	12. <input checked="" type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations
- Claim(s)	13. <input type="checkbox"/> Preliminary Amendment
- Abstract of the Disclosure	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) Should be specifically itemized)
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets <u>35</u> ]	15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
5. Oath or Declaration [Total Sheets <u>10</u> ] a. <input type="checkbox"/> Newly executed (original or copy)	16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) (for a continuation/divisional with Box 18 completed)	17. <input checked="" type="checkbox"/> Other: <u>Copy of Election &amp; Power of Attorney</u> <u>Copy of Assignment</u> <u>Copy of Declaration re ATCC Deposit</u>
i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	

18. If a CONTINUING APPLICATION OR APPLICATION CLAIMING FOREIGN PRIORITY, check appropriate box, and supply the  
requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76.
 Continuation  Divisional  Continuation-in-part (CIP)  Claims priority from application  
No. 08/871,488
Prior application information Examiner Minh-Tam B. Davis Group Art Unit: 1642For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or  
declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or  
divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a  
portion has been inadvertently omitted from the submitted application parts.

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Name (Print/Type)	Richard G. Sharkey, Ph.D.	Registration No. (Attorney/Agent)	32,629
Signature			Date 1/24/02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Scott S. Graves et al.  
Filed : January 24, 2002  
For : HUMANIZED ANTIBODIES THAT BIND TO THE ANTIGEN  
BOUND BY ANTIBODY NR-LU-13 AND THEIR USE IN  
PRETARGETING METHODS

Docket No. : 690022.527C2

Date : January 24, 2002

Box Patent Application  
Commissioner for Patents  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

DECLARATION

Sir:

I, Monica Steinborn, in accordance with 37 C.F.R. § 1.821(f) do hereby declare that, to the best of my knowledge, the content of the paper entitled "Sequence Listing" and the computer readable copy contained within the floppy disk are the same.

I declare further that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated this 24<sup>th</sup> day of January, 2002.

Monica Steinborn  
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